

# MERIT SYSTEMS PROTECTION BOARD APPEAL FORM (MSPB FORM 185)

#### INSTRUCTIONS FOR COMPLETING YOUR APPEAL

#### MSPB's Authority to Review Employment Related Actions or Decisions

The MSPB (the Board)'s legal authority (jurisdiction) to review employment-related actions or decisions is limited to those matters specifically entrusted to it by law, rule, or regulation. A listing of matters over which the Board has jurisdiction can be found in the Board's regulations at <u>5 C.F.R. § 1201.3</u>. The administrative judge assigned to your case will determine whether the Board has jurisdiction over the particular circumstances of your appeal.

#### Where to Obtain Additional Information

Much more information about the adjudication of appeals before the MSPB, including the Board's regulations, may be found at the Board's website: <a href="https://www.mspb.gov">www.mspb.gov</a>. The Board's regulations are also published in the Code of Federal Regulations, 5 C.F.R. Part 1200 et seq., available in many libraries.

#### Time Limits for Filing an Appeal

You must file your appeal within **30 calendar days** of the effective date, if any, of the action or decision you are appealing, or the date you received the agency's decision, whichever is later. (Please note that Individual Right of Action (IRA), Uniformed Services Employment and Reemployment Rights (USERRA), and Veterans Employment Opportunities Act (VEOA) appeals have different time limits, as described in **Appendix A**). In limited circumstances, the 30-day filing time limit may be extended if you and the agency mutually agree in writing to try to resolve your dispute through an alternative dispute resolution process before you file an appeal. See <u>5 C.F.R. § 1201.22(b)-(c)</u>. The 30-day time limit may also be extended if you have previously filed a formal equal employment opportunity (EEO) complaint regarding the same matter, as described in **Appendix A**. The date of filing is the date your appeal is postmarked, the date of the facsimile (fax) transmission, the date it is delivered to a commercial overnight delivery service, the date of receipt in the regional or field office if you personally deliver it, or the date of submission if you file your appeal electronically. **Do not delay filing your appeal merely because you do not currently have the documents requested in this form.** 

#### Where to File an Appeal

You must file your appeal of the agency's action or decision with the Board's regional or field office responsible for your actual or potential duty station. If you are appealing a retirement or suitability decision by the Office of Personnel Management (OPM), you must file your appeal with the Board's regional or field office responsible for where you live. See **5 C.F.R. § 1201.4(d)** and **1201.22(a)**. For a list of regional and field offices, see **Appendix B**.

#### **Attachments**

Please submit only the attachments requested in this form. The filing of an appeal is just the beginning of the adjudication process, and you will have additional opportunities to submit evidence and argument before a decision is issued. Further, the agency will submit all the documents contained in its record of the action. 5 C.F.R. § 1201.25(c).

If you prefer to file your appeal electronically, please visit MSPB e-Appeal Online — <a href="https://e-appeal.mspb.gov">https://e-appeal.mspb.gov</a>

MSPB Form 185, Page 1 (5/13) 5 C.F.R. Parts 1201, 1208 and 1209

| PART 1 - Appellant and Agency Information   |   |                  |  |                                     |                          |   |                     |
|---|---|------------------|--|-------------------------------------|--------------------------|---|---------------------|
|   |   | Ev               | eryone must c                          | omplete Part                        | 1.                       |   |                     |
| Please type or p  | rint legibly.                             |                  |  |                                     |                          |   |                     |
| 1. Name (last, first  | middle)                                   |                  |  |                                     | personnel records.       | t name as it appear<br>For example, if you      | r first name is     |
| Last  | 1   | First            |  | M. Initial                          |                          | official personnel rec<br>peal form, not "Bill" |                     |
|   | S (number and street, mptly notify the Bo | -                | • •                                    | your mailing add                    | dress while your         | appeal is pendin                                | g.                  |
| City:   |   | Sta              | ate:                                   |                                     | Zip Code:                |   |                     |
| •   | mbers (include area comptly notify the Bo | •                |  | your telephone                      | number(s) or e-m         | nail address whil                               | e your appeal is    |
| Home:   | \   | Work:            |  | Fax:                                |                          | Cell:   |                     |
| e-Mail Address  |   |                  |  |                                     |                          |   |                     |
| 4. Name and add State and Zip coo Agency Name:  Bureau:   | ress of the agency the                    | hat took the ad  | ction or made the de                   | ecision you are ap                  | ppealing (include bu     | ıreau or division, str                          | reet address, city, |
| Address:  |   |                  |  |                                     |                          | Phone Numb                                      | or:                 |
| City:   | P   | State:           |  | Zip Code:                           |                          |   | е.                  |
| 5. Your Federal e decision you al Permaner Seasonal None  | _   | _                |  | 6. Type of appo                     | rvice SE                 | cepted  |                     |
| 7. Your position,<br>appealing (if appealing Secupational Secupational Secupational Secupational Secupations) |   | r station at the |  | or decision you are                 | 8. Are you en See 5 U.S. | titled to veteran's C. § 2108.                  | preference?         |
| Grade or Pay Ba   | ınd:                                      | Duty Station     | n:                                     |                                     |                          |   |                     |
| 9. Length of Fede   | eral service (if application              | able):           | 10. Were you servii<br>or decision you | ng a probationary<br>are appealing? | , trial, or initial serv | vice period at the                              | time of the action  |
|   | ou may have a right ecision on the basis  |                  |  |                                     |                          | ı hearing, the adm                              | ninistrative judge  |
| Yes   | ☐ No                                      |                  |  |                                     |                          |   |                     |

#### PART 2 - Agency Personnel Action or Decision (non-retirement)

Complete this part if you are appealing a Federal agency personnel action or decision other than a decision directly addressing your retirement rights or benefits. This includes certain actions that might not otherwise be appealable to the Board: individual right of action (IRA) appeals under the Whistleblower Protection Act (WPA); appeals under the Uniformed Services Employment and Reemployment Rights Act (USERRA); or appeals under the Veterans Employment Opportunities Act (VEOA). An explanation of these three types of appeals is provided in **Appendix A**.

| 12. Check the box that best describes the agency <b>personnel action</b> action or decision, check each box that applies.)                            | n or decision you are appealing. (If you are appealing more than one   |  |  |  |
|---|--|--|--|--|
| Removal (termination after completion of probationary or initial service period)  | ☐ Involuntary resignation  |  |  |  |
| Termination during probationary or initial service period   | <ul><li>☐ Involuntary retirement</li><li>☐ Denial of within-grade increase</li><li>☐ Furlough of 30 days or less</li></ul>                               |  |  |  |
| Reduction in grade, pay, or band  |  |  |  |  |
| Suspension for more than 14 days  | Separation, demotion or furlough for more than 30 days by reduction in force (RIF)   |  |  |  |
| Failure to restore/reemploy/reinstate or improper restoration/reemployment/reinstatement  | Other action (describe):   |  |  |  |
| □ Negative suitability determination  |  |  |  |  |
| 13. Date you received the agency's final decision letter (if any) (MM/DD/YYYY):   | 14. Effective date (if any) of the agency action or decision (MM/DD/YYYY):   |  |  |  |
| Explain <u>briefly</u> why you think the agency was wrong in taking this procedural error, committed a prohibited personnel practice, or expressions. | s action, including whether you believe the agency engaged in harmful engaged in one of the other claims listed in <b>Appendix A</b> . <b>Attach the</b> |  |  |  |
| will be later opportunities to supplement your filings).  | able. Attach additional sheets if necessary (bearing in mind that there  |  |  |  |

### PART 2 - Agency Personnel Action or Decision (non-retirement) (continued)

|  | cy personnel action or decision y<br>ance procedure provided by a co  |  | ou, or has anyone on your behalf, filed a grievance ment?  |
|--|---|--|--|
| Yes  | ☐ No  |  |  |
| If "Yes," attach a copy of to question 4 in Part 1.                        | f the grievance, enter the date i                                     | it was filed, and enter the                                    | place where it was filed if different from your answer   |
| Agency Name:   |   |  | Date Filed (MM/DD/YYYY):   |
| Bureau:  |   |  |  |
| Address:   |   |  |  |
| City:  | State:  | Zip Co   | ode:   |
| If a decision on the grieva  | ance has been issued, attach a  | copy of the decision and                                       | d enter the date it was issued (MM/DD/YYYY):   |
| Date Issued ( <i>MM/DD/YYYY</i>  |   |  |  |
| Answer Question 18 ONL   | Y if you are filing an IRA ap   | peal.  |  |
| which OSC made a decisinvestigation letter, notificate Filed (MM/DD/YYYY): |   | n, if applicable. <b>Attach co</b> rrective action from the Bo | ovide the date on which you did so and the date on opies of your complaint and OSC's termination of oard.  |
|  |   |  |  |
|  |   |  |  |
| Answer Question 19 ONL   | Y if you are filing a USERR   | A or VEOA appeal.  |  |
| DOL has made a decision  | on your complaint, list the date days from the date you filed it, sta | of this decision, and attac                                    | ou did so, and <b>attach a copy of your complaint</b> . If <b>ch a copy of it</b> . If DOL has not made a decision on fied DOL of your intent to file an appeal with the |
| Date Filed (MM/DD/YYYY):   |   |  |  |
| Has DOL made a decisio   | n on your complaint?  |  |  |
| Yes  | ☐ No  |  |  |
| If "Yes," enter the date it was and attach a copy of such                  |   | r you have notified DOL of                                     | f your intent to file an appeal with the Board,  |
| Date of DOL decision (MM/L   | D/YYYY):  | Notified   | DOL of your intent to file an appeal with the Board?   |

| PART 3 - OPM or Agency Retirement Decision  |  |  |  |
|---|--|--|--|
| Complete this part if you are appealing a decision of the Federal agency directly addressing your retirement right  |  |  |  |
| 20. In which retirement system are you enrolled?  CSRS CSRS Offset FERS  Other, describe:   | 21. Are you a:  Current Employee  Surviving Spouse  Other, describe: |  |  |
| 22. If retired, date of retirement, or if unknown, approximate date:  Date Retired (MM/DD/YYYY):  |  |  |  |
| 23. Describe the retirement decision you are appealing.   |  |  |  |
| 24. Have you received a final or reconsideration decision from OPM or an Yes (attach a copy) No  If "Yes," on what date did you receive the decision?  Date Received (MM/DD/YYYY):  Provide the OPM processing (CSA or CSF) number in your appeal:  OPM Claim Number: | nother Federal agency?   |  |  |
| 25. Explain briefly why you think OPM or another Federal agency was wro   | ong in making this decision.   |  |  |

## PART 4 — Designation of Representative

| 26. Has an individual or organization agreed to represent you in this preat any time. However, it is unlikely that the appeals process will be representation. Moreover, you must promptly notify the Board in wr | delayed for reasons related to obtaining or maintaining  |  |  |  |
|---|--|--|--|--|
| Yes (Complete the information below and sign)   | No   |  |  |  |
| DESIGNATION:  |  |  |  |  |
| "I hereby designate I understand that my representative is authorized to act on my behalf. settle this appeal on my behalf. I understand that any limitation on t   |  |  |  |  |
| Representative's address (number and street, city, State and Zip code)  Address:  City:   | Representative's telephone numbers (include area code) and e-mail address  Office:  Fax:  Other: |  |  |  |
| State: Zip Code: e-Mail Address:  SIGN BELOW TO MAKE YOUR DESIGNATION OF REPRESENTATIVE EFFECTIVE  Appellant's Signature Date (MM/DD/YYYY)  |  |  |  |  |

#### **PART 5 - Certification**

| 27. I certify that all of the statements made in this form and any attachments are true, complete, and correct to the best of my knowledge and belief. |                   |  |
|--|-------------------|--|
| Signature of Appellant or Representative   | Date (MM/DD/YYYY) |  |

#### **Privacy Act Statement**

This form requests personal information that is relevant and necessary to reach a decision in your appeal. The Merit Systems Protection Board collects this information in order to process appeals under its statutory and regulatory authority. Because your appeal is a voluntary action, you are not required to provide any personal information to the Merit Systems Protection Board in connection with your appeal. Conceivably, failure to provide all information essential to reaching a decision in your case could result in the dismissal or denial of your appeal.

Decisions of the Merit Systems Protection Board are available to the public under the provisions of the Freedom of Information Act and are posted to the Merit Systems Protection Board's public website. Some information about the appeal also is used in depersonalized form for statistical purposes. Finally, information from your appeal file may be disclosed as required by law under the provisions of the Freedom of Information Act and the Privacy Act. See 5 U.S.C. §§ 552, 552a.

#### **Public Reporting Burden**

The public reporting burden for this collection of information is estimated to vary from 20 minutes to 4 hours, with an average of 60 minutes per response, including time for reviewing the form, searching existing data sources, gathering the data necessary, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of the collection of information, including suggestions for reducing this burden, to Office of the Clerk of the Board, Merit Systems Protection Board, 1615 M Street, N.W., Washington, DC 20419 or by e-mail to <a href="mailto:mspb@mspb.gov">mspb@mspb.gov</a>.

#### APPENDIX A

#### **ADDITIONAL CLAIMS**

**Harmful Procedural Error**: Error by the agency in the application of its procedures that is likely to have caused the agency to reach a conclusion different from the one it would have reached in the absence or cure of the error. The burden is upon the appellant to show that the error was harmful, i.e., that it caused substantial harm or prejudice to his or her rights. **5 C.F.R. § 1201.56(c)(3)**.

Prohibited Personnel Practices: A claim that the agency action or decision you are challenging was the result of one of the personnel practices prohibited by <u>5 U.S.C.</u> § 2302(b). Among the prohibited personnel practices most likely to be relevant as an affirmative defense in an MSPB proceeding are: unlawful discrimination under subsection (b)(1); retaliation for protected whistleblowing under subsection (b)(8); and retaliation for other protected activity under subsection (b)(9).

- Unlawful Discrimination: A claim that the agency action was the result of prohibited discrimination based on race, color, religion, sex, national origin, disability, age, marital status, political affiliation, genetic information, and retaliation for prior EEO activity. See <u>5 U.S.C § 2302(b)(1)</u> and <u>7702</u>; <u>5 C.F.R. Part 1201, Subpart E</u>; <u>29 C.F.R. Part 1630</u> and <u>Appendix to Part 1630</u>; <u>42 U.S.C. § 2000ff et seq.</u>; <u>29 C.F.R. § 1614.302-.308</u>. If you filed a formal discrimination complaint, give the date on which you did so, state whether and when the agency issued a final decision on your discrimination complaint, and provide copies of both.
- Retaliation for whistleblowing activity under 5 U.S.C. § 2302(b)(8) and (b)(9)(A)(i), (B), (C), or (D): A claim that the agency action was taken in retaliation for the disclosure of information the individual reasonably believes demonstrates a violation of law, rule, or regulation, gross mismanagement, gross waste of funds, abuse of authority, or substantial and specific danger to public health or safety, or in retaliation for exercise of the right to appeal, complain, or grieve an alleged violation of Subsection (b)(8); for testifying or otherwise lawfully assisting another's right to appeal, complain, or grieve such an alleged violation; for cooperating with or disclosing information to the Inspector General or Special Counsel in accordance with applicable provisions of law; or for refusing to obey an order that would require a violation of law. See 5 C.F.R. § 1209.4(b).
- Retaliation for other protected activity under <u>5 U.S.C.</u> § <u>2302(b)(9)(A)(ii)</u>: A claim that the agency action was taken in retaliation for the exercise of a right, other than with regard to remedying an alleged violation of **5 U.S.C.** § <u>2302(b)(8)</u>, such as the filing of an appeal, complaint, or grievance.

Violation of Rights under the Uniformed Services Employment and Reemployment Rights Act (USERRA): A claim that the agency action violated rights and benefits under 38 U.S.C. Chapter 43, by denying initial employment, reemployment, retention in employment, promotion, or any benefit of employment on the basis of membership, application for membership, performance of service, application for service, or obligation to perform service in a uniformed service. See 5 C.F.R. § 1208, Subpart B.

Violation of a Law or Regulation relating to Veterans' Preference pursuant to the Veterans Employment Opportunities Act (VEOA): A claim that the agency action violated rights related to veterans' preference under any statute or regulation. See <u>5 C.F.R.</u> § 1208, Subpart C; <u>5 U.S.C.</u> § 3330(a).

**Not in accordance with law**: A claim that the agency's action was unlawful in its entirety, that is, there is no legal authority for the action.

#### IRA, USERRA, and VEOA APPEALS

The law provides for three types of appeals in certain situations that might not otherwise be appealable to the MSPB (See <u>5 C.F.R.</u> § 1201.3(a) for a list of otherwise appealable actions): Individual Right of Action (IRA) appeals under the Whistleblower Protection Act (WPA) and Whistleblower Protection Enhancement Act (WPEA) pursuant to <u>5 U.S.C.</u> § 1221; appeals under the Uniformed Services Employment and Reemployment Rights Act (USERRA) pursuant to <u>38 U.S.C.</u> § 4324; and appeals under the Veterans Employment Opportunities Act (VEOA) pursuant to <u>5 U.S.C.</u> § 3330a.

**Note**: As previously set forth, allegations of retaliation for whistleblowing, as well as allegations under USERRA and VEOA, may be brought as additional claims in cases that are otherwise appealable to the Board.

IRA Appeals under the WPA and WPEA. Subsection (b)(8) of 5 U.S.C. § 2302 makes it a prohibited personnel practice to threaten, propose, take, or not take a personnel action listed in 5 U.S.C. § 2302(a)(2) because of an individual's disclosure of information that he or she reasonably believes shows a violation of law, rule, or regulation, gross mismanagement, gross waste of funds, abuse of authority, or substantial and specific danger to public health or safety. Subsections (b)(9)(A)(i), (B), (C), and (D) make it a prohibited personnel practice to threaten, propose, take, or not take a personnel action because an individual exercised the right to appeal, complain, or grieve an alleged violation of Subsection (b)(8); testified or otherwise lawfully assisted another's right to appeal, complain, or grieve such an alleged violation; cooperated with or disclosed information to the Inspector General or Special Counsel in accordance with applicable provisions of law; or refused to obey an order that would require a violation of law. See 5 C.F.R. § 1209.4. If the personnel action allegedly taken in reprisal for making a protected disclosure or engaging in protected activity is not otherwise appealable to the Board, you must first file a whistleblower complaint with the Office of Special Counsel (OSC) and exhaust the procedures of that office, see 5 U.S.C. § 1214(a)(3), before you may file an IRA appeal with the Board under 5 U.S.C. § 1221.

**USERRA Appeals**. In USERRA appeals, appellants allege that agencies have violated their rights and benefits under 38 U.S.C. Chapter 43, by denying initial employment, reemployment, retention in employment, promotion, or any benefit of employment on the basis of their membership, application for membership, performance of service, application for service, or obligation to perform service in a uniformed service. See 5 C.F.R. § 1208, Subpart B. To pursue redress for a USERRA violation, you may either file a USERRA complaint with the Department of Labor (DOL) or file an appeal with the Board. However, if you first file a USERRA complaint with DOL, you must exhaust DOL procedures before you may file an appeal with the Board. See 5 C.F.R. § 1208.11.

**VEOA Appeals**. A VEOA appeal is one in which a preference eligible (defined in <u>5 U.S.C.</u> § <u>2108</u>) or veteran described in <u>5 U.S.C.</u> § <u>3304(f)(1)</u> alleges that a Federal agency violated his or her rights under any statute or regulation relating to veterans' preference. See <u>5 C.F.R</u> § <u>1208</u>, <u>Subpart C</u>. Unless you are making a VEOA claim in an otherwise appealable action, you must file a VEOA complaint with DOL and allow DOL at least 60 days to try to resolve the matter before filing an appeal with the Board.

## Time Limits for filing IRA, USERRA, and VEOA Appeals, and following the filing of a Formal EEO Complaint

**IRA Appeals.** If you are filing an IRA appeal, you must file no later than **65 days** after the date of the Office of Special Counsel (OSC) notice advising you that the Special Counsel will not seek corrective action, or within **60 days** after the date you received the OSC notice, whichever is later. See **5 C.F.R § 1209.5**.

**USERRA Appeals.** If you are filing a USERRA appeal, there is no time limit for filing. See <u>5 C.F.R.</u> § <u>1208.12</u>. If you file a USERRA complaint with the Department of Labor (DOL) first, you must exhaust the procedures of DOL before you may file an appeal with the Board.

**VEOA Appeals.** If you are filing a VEOA appeal, you must file it within **15 days** after the date you received notice that the Department of Labor (DOL) was unable to resolve the matter. See <u>5 C.F.R. § 1208.22</u>. Note: Before filing with the Board, you must file a VEOA complaint with the DOL, which is allowed at least **60 days** to try to resolve the matter.

**Formal EEO Complaints**. If you have previously filed a formal Equal Employment Opportunity (EEO) complaint regarding the same matter, you must file your Board appeal within 30 days after receiving the agency's resolution or final decision as to that complaint, or you may file at any time after 120 days have elapsed from the filing of the complaint in the absence of such an agency resolution or decision. See <u>5 C.F.R.</u> § 1201.154(b).

#### **APPENDIX B**

#### **MSPB Regional and Field Offices**

Atlanta Regional Office: 401 West Peachtree Street, N.W., 10th floor, Atlanta, GA 30308-3519

Tel No.: (404) 730-2751; Fax No.: (404) 730-2767

Geographic Area: Alabama; Florida; Georgia; Mississippi; South Carolina; and Tennessee.

Central Regional Office: 230 South Dearborn Street, 31st floor, Chicago, IL 60604-1669

Tel No.: (312) 353-2923; Fax No.: (312) 886-4231

Geographic Area: Illinois; Indiana; Iowa; Kansas City, Kansas; Kentucky; Michigan; Minnesota;

Missouri; Ohio; and Wisconsin.

Dallas Regional Office: 1100 Commerce Street, Room 620, Dallas, TX 75242-9979

Tel. No.: (214) 767-0555; Fax No.: (214) 767-0102

Geographic Area: Arkansas; Louisiana; Oklahoma; and Texas.

Denver Field Office: 165 South Union Blvd., Suite 318, Lakewood, CO 80228-2211

Tel. No.: (303) 969-5101; Fax No.: (303) 969-5109

Geographic Area: Arizona; Colorado; Kansas (except Kansas City); Montana; Nebraska; New

Mexico; North Dakota; South Dakota; Utah; and Wyoming.

New York Field Office: 26 Federal Plaza, Room 3137-A, New York, NY 10278-0022

Tel. No.: (212) 264-9372; Fax No.: (212) 264-1417

Geographic Area: New Jersey counties of Bergen, Essex, Hudson, and Union; New York; Puerto

Rico; and Virgin Islands.

Northeastern Regional Office: 1601 Market Street, Suite 1700, Philadelphia, PA 19103

Tel. No.: (215) 597-9960; Fax No.: (215) 597-3456

Geographic Area: Connecticut; Delaware; Maine; Maryland (except the counties of Montgomery and

Prince George's) Massachusetts; New Hampshire; New Jersey (except the counties of Bergen, Essex, Hudson, and Union); Pennsylvania; Rhode Island; Vermont; and West Virginia.

Washington Regional Office: 1901 S. Bell Street, Suite 950, Arlington, VA 22202

Tel. No.: (703) 756-6250; Fax No.: (703) 756-7112

Geographic Area: Maryland counties of Montgomery and Prince George's; North Carolina; Virginia;

Washington, DC; and all overseas areas not otherwise covered.

Western Regional Office: 201 Mission Street, Suite 2310, San Francisco, CA 94105-1831

Tel. No.: (415) 904-6772; Fax No.: (415) 904-0580

Geographic Area: Alaska; California; Hawaii; Idaho; Nevada; Oregon; Washington; and Pacific

overseas.